

DISTRIBUTED DATA COLLECTION FOR REINSURANCE AND RISK ADJUSTMENT: EDGE SERVER IMPLEMENTATION TIMELINE & CHECKLIST

August 7, 2014

**Health Insurance Exchange Program
Training Series**

Purpose

This Distributed Data Collection (DDC) Session will:

- Provide issuers and TPAs with an overview of the EDGE server implementation schedule.
- Provide issuers and TPAs with guidance on the key pre-registration tasks that must be completed prior to the start of the EDGE server registration process.

Session Guidelines

- This is a ninety-minute webinar session.
- For questions regarding content, please submit inquiries to REGTAP at <https://www.regtap.info/>.
- For questions regarding logistics and registration, please contact the Registrar at: (800) 257-9520.

Intended Audience

- Amazon EDGE server issuers
- On-Premise EDGE server issuers
- Third Party Administrators (TPAs) and Support Vendors

EDGE Server Implementation Timeline

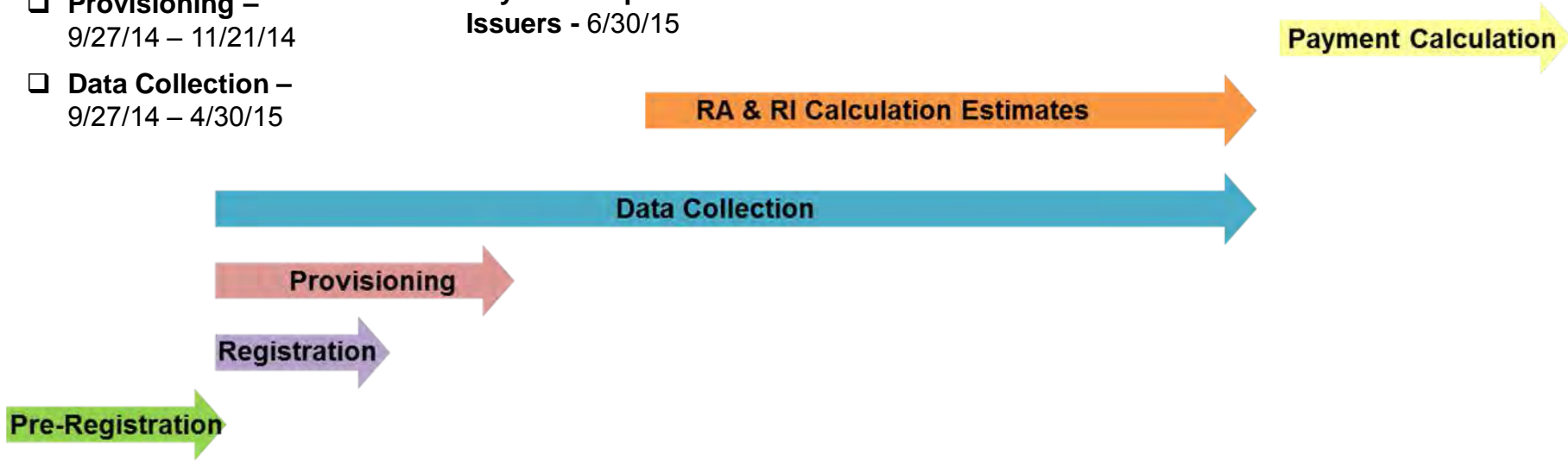
Registration and Provisioning Start Date

- EDGE server registration and provisioning will begin on **September 27, 2014**.
- **Between now and September 26, 2014**, issuers must complete key pre-registration activities in order to prepare for implementation.
- CMS will run the first risk adjustment and reinsurance calculation estimates in **mid-December**.
- Issuers must be ready to start the registration process on September 27, 2014 in order to have sufficient time to set-up their servers and test their data submissions prior to the mid-December estimate calculations.

EDGE Server Implementation Timeline

Key Implementation Dates:

- ✓ **Pre-Registration** – Through 9/26/14
- ☐ **Registration** – 9/27/14 – 10/20/14
- ☐ **Provisioning** – 9/27/14 – 11/21/14
- ☐ **Data Collection** – 9/27/14 – 4/30/15
- ☐ **Risk Adjustment & Reinsurance Estimates** – 12/15/14 – 4/30/15
- ☐ **Payment Calculations** – 5/1/15 – 6/30/15
- ☐ **Payment Report to Issuers** - 6/30/15



2014					2015					
AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN



EDGE Implementation – Key Tasks and Dates



Phase

Key Tasks and Dates

Pre-Registration
Now through 9/26

CMS	Issuers
<ul style="list-style-type: none"> • NOW: <ul style="list-style-type: none"> – CMS provides training and operational manuals to issuers on pre-implementation and implementation activities 	<ul style="list-style-type: none"> • NOW: <ul style="list-style-type: none"> – Issuers select Amazon or On-Premise EDGE server option – Issuers register for HIOS Account IDs • Before 9/26/14: <ul style="list-style-type: none"> – Issuers register for access to the EDGE server registration site – Issuers set-up AWS Account (including BAA) – Issuers obtain and configure On-Premise servers



EDGE Implementation – Key Tasks and Dates



Phase

Key Tasks and Dates

Registration
9/27 – 10/20

CMS	Issuers
<ul style="list-style-type: none"> • 9/27/14: <ul style="list-style-type: none"> – CMS opens registration site – CMS and Help Desk start monitoring registration and provisioning data and providing TA to issuers • By 10/20/14: <ul style="list-style-type: none"> – CMS reviews and approves all registration requests 	<ul style="list-style-type: none"> • By 10/17/14: <ul style="list-style-type: none"> – Issuers submit EDGE server requests

• It is critical that issuers complete the registration and provisioning process in a timely fashion in order to ensure sufficient time for data testing and submission.



EDGE Implementation – Key Tasks and Dates



Phase

Key Tasks and Dates

Provisioning
9/27 – 11/21

CMS	Issuers
<ul style="list-style-type: none"> • 9/27/14: <ul style="list-style-type: none"> – CMS starts monitoring provisioning data and provides technical assistance to issuers with the provisioning process 	<ul style="list-style-type: none"> • 11/21/14: <ul style="list-style-type: none"> – Issuers complete provisioning process <ul style="list-style-type: none"> ▪ Provisioning will be automated for Amazon EDGE server issuers ▪ On-Premise EDGE server issuers will need to complete a manual provisioning process



EDGE Implementation – Key Tasks and Dates



Phase

Key Tasks and Dates

Data Collection
9/27 – 4/30/15

CMS	Issuers
<ul style="list-style-type: none"> • Starting 9/27/14: <ul style="list-style-type: none"> – CMS will provide technical assistance to issuers that need file processing support • Starting 9/27/14: <ul style="list-style-type: none"> – CMS and Help Desk initiate data monitoring process. CMS initiates outreach to issuers with data submission issues 	<ul style="list-style-type: none"> • By 12/5/14 <ul style="list-style-type: none"> – Issuers will have to submit their first production file by 12/5/14 in order to be included in the first payment estimate calculations – By 12/5/14, issuers must have completed the process of testing their data in the EDGE test zone – After 12/5/14, issuers will have to make sure they are resolving data errors and updating their data – CMS will provide more information on the data submission schedule in a future training • By 4/30/15: <ul style="list-style-type: none"> – Issuers must submit final 2014 data



EDGE Implementation – Key Tasks and Dates



Phase

Key Tasks and Dates

**RA & RI
Calculation
Estimates**
12/15 – 4/30/15

CMS	Issuers
<ul style="list-style-type: none"> • By Mid-December: <ul style="list-style-type: none"> – CMS runs first RA and RI estimate reports – CMS will run multiple estimate reports between mid-December 2014 and May 2015 (more information on the report schedule will be released in a future training) • Starting Mid-December: <ul style="list-style-type: none"> – CMS and the Help Desk will review estimate reports and provide TA to issuers with deficiencies 	<ul style="list-style-type: none"> • Starting Mid-December: <ul style="list-style-type: none"> – Issuers must review RA and RI estimate reports and provide CMS with feedback on estimate reports



EDGE Implementation – Key Tasks and Dates



Phase

Key Tasks and Dates

Payment Calculations
 Early May – 6/30/15

CMS	Issuers
<ul style="list-style-type: none"> • Early May: <ul style="list-style-type: none"> – CMS runs final RA and RI estimate reports based on final 4/30/15 data • 6/30/15: <ul style="list-style-type: none"> – Provide 2014 coverage year payment report for RA and RI 	<ul style="list-style-type: none"> • Early May: <ul style="list-style-type: none"> – Review final estimate report and provide feedback to CMS • 6/30/15: <ul style="list-style-type: none"> – Review payment report and provide feedback to CMS • August 2015: <ul style="list-style-type: none"> – Use 6/30/15 payment report data to complete the MLR and Risk Corridor reporting requirements



EDGE Server Issuer Pre-Registration Checklist

Amazon EDGE Server Issuer Pre-Registration Checklist

Activity	Deadline	Milestone	
Select EDGE server preference	Now	Issuers are actively working to determine their EDGE server of choice (contact aws-edge@amazon.com for support)	✓
Register for HIOS ID if you don't already have one (TPAs as well)	Now		✓
Set-up an AWS Account	Before 9/27	Amazon EDGE server issuers have completed registration process with AWS	
<ul style="list-style-type: none"> Select your level of support Request a BAA from aws-edge@amazon.com (Be sure to allow enough time for this process, as it can take up to 4 weeks to complete a BAA) Contact Amazon to set up alternate payment options 			
<ul style="list-style-type: none"> Hold internal trainings with system administrator and IT staff 		IT staff are prepared to deploy and maintain EDGE servers	

On-Premise EDGE Server Issuer Pre-Registration Checklist

Activity	Deadline	Milestone	
Select EDGE server preference	Now	Issuers are actively working to determine their EDGE server of choice	✓
Register for HIOS ID if you don't already have one (TPAs as well)	Now		✓
Obtain & configure On-Premise server	Before 9/27	On-Premise EDGE server issuers have obtained and configured their server in preparation for initial registration and provisioning	
<ul style="list-style-type: none"> • Choose a physical or virtual server and install <ul style="list-style-type: none"> • Red Hat Enterprise Linux v6.5 (64 bit) • MySQL version 5.5.38 • Java version 1.7 u51 • Install other software as required by your enterprise • Configure firewall rules • Verify access to AWS public site • Set up file directories 			
• Hold internal trainings with system administrator and IT staff		IT staff are prepared to deploy and maintain EDGE servers	

EDGE Server Implementation: Next Steps

EDGE Server Operational Guidance

- Throughout the course of webinars scheduled for the next several months, CMS will provide additional operational guidance. CMS will also release job aids with technical information on all phases of EDGE server implementation.
- Issuers should go to the REGTAP Library for additional EDGE server resources:
 - Interface Control Document (ICD) and Business Rules for technical specifications on file processing and data file requirements.
 - 7/17/2014 EDGE Server Security slide deck for detailed information on server security and data protection.
 - 6/12/2014 Amazon Server Set-Up and 6/26/2014 On-Premise Server Set-Up slide decks for information on the server set-up and provisioning processes.

CMS Next Steps

- CMS will be providing additional details on both EDGE server options in upcoming webinars and user groups.
- Future webinar sessions will be held on Thursdays from 3:30 – 5:00 p.m. EST with user group sessions to follow on Tuesdays from 11:30 a.m. – 12:30 p.m. EST.

Webinar Topic	Scheduled Event Date
EDGE Server Issuer Pre-Registration Activities	Thursday – August 14, 2014
EDGE Server Q&A & Issuer Feedback Session	Thursday – August 21, 2014

Questions?

To submit questions by phone:

- dial '14' on your phone's keypad
- dial '13' to exit the phone queue

To submit questions by webinar:

- type your question in the text box under the 'QA' tab

Resources

Resources

Resource	Link/Contact Information
Center for Consumer Information and Insurance Oversight (CCIIO)	http://cms.gov/ccio/
Registration for Technical Assistance Portal (REGTAP) <ul style="list-style-type: none">• Registration• Inquiry Tracking and Management System (ITMS)• Resource Library• Frequently Asked Questions (FAQs)	https://www.REGTAP.info/
AWS security whitepapers and good practices <ul style="list-style-type: none">• Risk and compliance, including CSA questionnaire response• Security best practices• Audit and operational checklists to help you assess security before you go live	http://aws.amazon.com/compliance http://aws.amazon.com/security

Inquiry Tracking and Management System (ITMS)

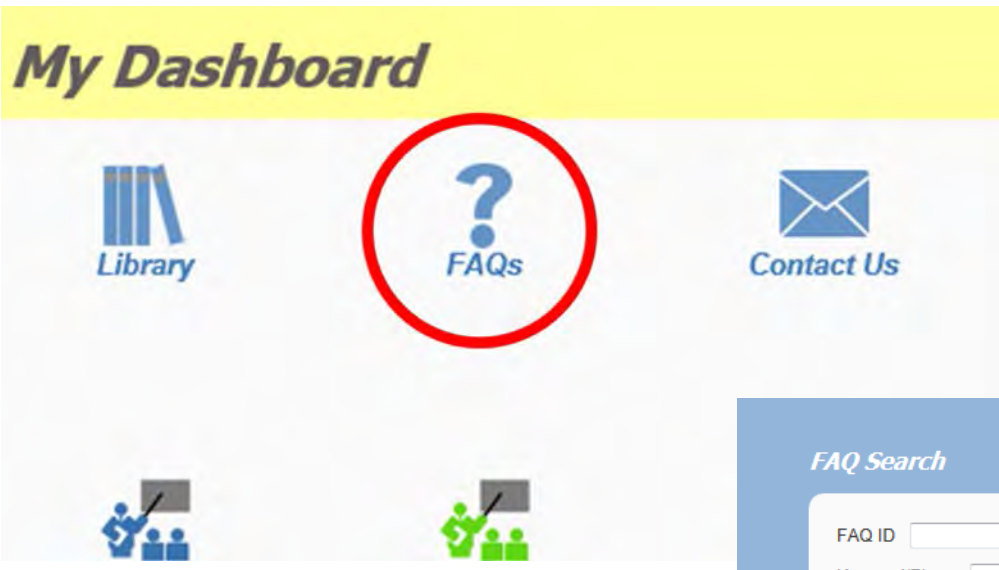
ITMS is available at <https://www.regtap.info/>

Users can submit questions after the User Group by selecting “Submit an Inquiry” from My Dashboard.

The screenshot displays the REGTAP My Dashboard interface. The dashboard is titled "My Dashboard" and shows a grid of icons for various services: Library, FAQs, Contact Us, Suggestion Box, Update Password, Training Events, My Events, My Proxy Events, My Inquiries, and Submit an Inquiry. The "My Inquiries" and "Submit an Inquiry" icons are highlighted with a red box. The dashboard also includes an "Announcements" section on the left and a "Log out" button in the top right corner.

Note: Enter only one (1) question per submission.

FAQ Database on REGTAP



The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, and Publish Date.

FAQ Database is available at <https://www.regtap.info/>



Closing Remarks